

NPS55PK76071

NAVAL POSTGRADUATE SCHOOL

Monterey, California



FACTORS INVOLVED IN THE VARIABILITY OF
MONTHLY MAJOR AIRCRAFT ACCIDENT RATES

by

G. K. Pooock, Ph.D.

Man-Machine Systems Design Laboratory

Naval Postgraduate School

July 1976

Approved for public release; distribution unlimited.

Prepared for:
Naval Safety Center
Folk, Virginia 23511

FEDDOCS
D 208.14/2:
NPS-55PK76071

NAVAL POSTGRADUATE SCHOOL
Monterey, California

Rear Admiral I. W. Linder, USN
Superintendent

Jack R. Borsting
Provost

The purpose of this report is to inform the sponsor of results obtained using multiple regression techniques to identify human and/or aircraft variables which account for the variability in monthly major aircraft accident rates.

This report was prepared by:

REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM
1. REPORT NUMBER NPS-55Pk76071	2. GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER Technical Report April - July 76
4. TITLE (and Subtitle) Factors Involved in the Variability of Monthly Major Aircraft Accident Rates		5. TYPE OF REPORT & PERIOD COVERED
7. AUTHOR(s) Gary K. Poock		6. PERFORMING ORG. REPORT NUMBER
9. PERFORMING ORGANIZATION NAME AND ADDRESS Naval Postgraduate School Monterey, CA. 93940		8. CONTRACT OR GRANT NUMBER(s)
11. CONTROLLING OFFICE NAME AND ADDRESS Naval Safety Center Norfolk, Virginia 23511		10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS N6339376P000001
14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office)		12. REPORT DATE July 76
		13. NUMBER OF PAGES 14
		15. SECURITY CLASS. (of this report) UNCLASSIFIED
		15a. DECLASSIFICATION/DOWNGRADING SCHEDULE
16. DISTRIBUTION STATEMENT (of this Report) Approved for public release; distribution unlimited.		
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)		
18. SUPPLEMENTARY NOTES		
19. KEY WORDS (Continue on reverse side if necessary and identify by block number) Accidents Major Aircraft Accidents Multiple Regression.		
20. ABSTRACT (Continue on reverse side if necessary and identify by block number) Results are presented showing the development of regression equations to help determine human and/or aircraft variables which appear to account for the variability in monthly ~~mjaor~~ aircraft accident rates. major		

FOREWARD

This report is one of several being generated during a two year investigation into a variety of questions concerning major aircraft accidents.

NOTE: All reference to accident rates in this paper are in terms of the number of accidents per 10,000 flight hours.

TABLE OF CONTENTS

I.	Introduction.....	1
II.	Equation Development.....	3
III.	Aircraft Analysis.....	5
IV.	Command Analysis.....	7
V.	Summary.....	10
VI.	References.....	11

1. Introduction.

Previous work by this author and military officers working for him has been reported in Poock (1976) and Maxwell and Stucki (1975).

This report represents the work of the author and two military officers, Lt. Gary Johnson and Lt. Cdr. Lawrence Bucher who are currently working with the author in an attempt to use multiple regression techniques to identify variables which account for the variability in monthly major aircraft accident rates. The research described here deals with the data at an aircraft type and command level, versus the work of Maxwell and Stucki (1975) which concentrated on a macro view of the Navy as a whole.

The purpose of this paper is to present the equations which have been developed during the past three months. Each equation is the best result at this point in time, after having tried approximately ten different forms of equations for each of the aircraft types and commands. As the reader is probably aware, the development of a regression equation is somewhat of an art because the number of variables and forms of those variables is limitless, i.e., one can use the raw data, the square of the raw data, the cube root of the raw data, etc., which is purely up to the equation developer. Hence what is presented here is the best equations developed so far, after having tried some ten different alternative forms and combinations of variables. Better equations accounting for more of the variability in major aircraft accident rates may be found in future efforts but no guarantee can be

made, since the best combination of variables may already have been found. One should also note that the regression technique is used here to identify important variables rather than to be used as a predictive technique. Prediction would not always be possible as some variables are only known after the accident occurs. If possible, future efforts will examine "non-accident" pilots to compare distributions of their variables with "accident" pilot variable distributions.

2. Equation Development.

The regression program used was that of a forward inclusion type. It first brings in the independent variable most highly correlated with the dependent variable (monthly accident rate) and then continues to search for the next variable if it will account for a significant additional amount of the variability in the dependent variable. Equation development is terminated when unused variables would be of no useful benefit when inserted in the equation.

The following variables were mutually chosen by the investigator and Safety Center personnel for analysis at a command level and an aircraft type level. The analysis in each case uses data for the time period July 71 - June 74.

The dependent variable was monthly accident rate for the command or aircraft type.

Independent variables used from each accident were:

1. DNA - Years experience as a designated Naval aviator.
2. TTIME - Pilot's total flight time in aircraft model in which accident occurred.
3. AGE - pilot's age
4. TOT90 - Total flight hours in previous 90 days
5. NITE90 - Total night flight hours in previous 90 nights.
6. CLDAY - Number of day carrier landings in last 30 days.
7. CLNITE - Number of night carrier landings in last 30 nights

8. ACTOUR - Number of aircraft tours for the aircraft.
9. ACHRS - Aircraft flight hours since last major or minor inspection.
10. DAY90 - Total day flight hours in previous 90 days.

Various forms of the independent variables and some combinations of the variable were used to arrive at the following equations. The R_{adj}^2 figure is the amount of variability in the dependent variable, accident rate (Y), accounted for by the independent variables in the equation. The $(1 - \alpha)$ figure can be interpreted to refer to the confidence level with which one can assume that these variables properly belong in the equation. The α level usually means there is an α amount of chance that the equation is not statistically correct, but the reverse $1 - \alpha$ interpretation may be easier for some to interpret or understand.

3. Aircraft Analysis:

The following equations apply to the respective individual aircraft or combinations of aircraft as designated and for which sufficient data were available

$$\underline{\text{A-7}} \quad R_{\text{adj}}^2 = .55016 \quad (1 - \alpha) \text{ of } .99$$

$$Y = 0.27170 \sqrt{\text{CLDAY}} - 0.01856 (\text{CLNITE})^2$$

$$+ 0.21346 \sqrt{\text{NITE90}} + 4.01164 \sqrt{\text{DNA}}$$

$$- 0.88896 (\text{DNA}) - 3.70545$$

$$\underline{\text{A-6}} \quad R_{\text{adj}}^2 = .40275 \quad (1 - \alpha) \text{ of } .75$$

$$Y = 16.28967 - 0.04604 (\text{DNA})^2 + 2.33592 \sqrt{\text{DNA}}$$

$$- 20.30561 \sqrt{\text{ACTOUR}} + 5.34649 (\text{ACTOUR})$$

$$+ 0.05874 \sqrt{\text{TTIME}}$$

$$\underline{\text{A-4}} \quad R_{\text{adj}}^2 = .45907 \quad (1 - \alpha) = .99$$

$$Y = -0.02157 (\text{DNA})^2 + 0.00151 [\text{ACTOUR} \times \text{ACHRS}]$$

$$+ 0.85168 \sqrt{\text{DNA}} + 0.54683 \sqrt{\text{NITE90}}$$

$$- 0.0096 [\text{TOT90} \times \text{NITE90}] - 1.23815$$

$$\begin{array}{l} \text{ATTACK} \quad R_{\text{adj}}^2 = .74021 \quad (1 - \alpha) = .99 \\ (A3, A4, A5, A6, A7) \end{array}$$

$$\begin{aligned} Y = & 1.01024 - 0.01845 (\text{ACTOUR})^2 + 0.00445 (\text{ACHRS}) \\ & - 0.00763 [\text{NITE90} \times \text{CLDAY}] + 0.94584 \sqrt{\text{CLNITE}} \\ & - 0.0001 [\text{TTIME} \times \text{DAY90}] \end{aligned}$$

$$\begin{array}{l} \text{FIGHTERS} \quad R_{\text{adj}}^2 = .40458 \quad (1 - \alpha) = .99 \\ (F4, F8, F9) \end{array}$$

$$\begin{aligned} Y = & 1.21906 \sqrt{\text{ACTOUR}} + 0.23768 \sqrt{\text{DAY90}} \\ & + 0.01897 (\text{CLDAY})^2 + 2.38695 \sqrt{\text{CLDAY}} \\ & - 0.92126 (\text{CLDAY}) - 2.48215 \end{aligned}$$

$$\begin{array}{l} \text{F-4} \quad R_{\text{adj}}^2 = 0.34799 \quad (1 - \alpha) = .95 \end{array}$$

$$\begin{aligned} Y = & 0.19142 \sqrt{\text{DAY90}} - 0.03663 (\text{CLNITE})^2 \\ & + 0.17982 \sqrt{\text{TTIME}} - 0.01302 (\text{DNA})^2 \\ & - 1.59073 \end{aligned}$$

$$\begin{array}{l} \text{HELO} \quad R_{\text{adj}}^2 = 0.09308 \quad (1 - \alpha) = .90 \end{array}$$

$$Y = 0.00062 (\text{TTIME}) + 0.65405$$

$$\text{PROPS} \quad R_{\text{adj}}^2 = 0.43250 \quad (1 - \alpha) = .95$$

$$Y = 0.00002 [\text{TIME} \times \text{NITE90}] - 0.00022 (\text{NITE90})^2 \\ - 0.00001 [\text{AGE} \times \text{TIME}] + 0.00108 [\text{CLDAY} \times \text{DNA}] \\ - 0.00595 [\text{CLNITE}]^2 + 0.35935$$

4. Command Analysis

$$\text{CNATRA} \quad R_{\text{adj}}^2 = .33 \quad (1 - \alpha) = .90$$

$$Y = - .31346 + .11248 \sqrt{\text{ACHRS}} \\ - .00003 (\text{ACHRS})^2 - .00002 (\text{DAY90})^2 \\ + .03482 \sqrt{\frac{\text{TIME}}{\text{DNA}}} - .00092 \left(\frac{\text{TIME}}{\text{DNA}} \right)$$

$$\text{MARFAC} \quad R_{\text{adj}}^2 = .392$$

$$Y = 1.26335 - .32047 (\text{ACTOUR})^2 \\ + 2.21178 (\text{ACTOUR}) + .01042 (\text{NITE90})^2 \\ - 19.20769 \left(\frac{\text{NITE90}}{\text{DAY90}} \right) - .00565 (\text{ACHRS}) \\ - .00462 (\text{WINGS})^2$$

where $\text{WINGS} = \text{AGE} - \text{DNA}$

$$\text{AIRLANT} \quad R_{\text{adj}}^2 = .429 \quad (1 - \alpha) = .99$$

$$Y = .89771 + .11823 \sqrt{\text{ACHRS}} - .00002 (\text{ACHRS})^2$$

$$- \frac{464.21653}{(\text{TOT90})^2} - .00002 \left(\frac{\text{DNA}}{\text{TTIME}} \right)^2$$

$$- 4.76996 \sqrt{\frac{\text{TTIME}}{\text{DNA}}}$$

$$\text{AIRPAC} \quad R_{\text{adj}}^2 = .457 \quad (1 - \alpha) = .995$$

$$Y = 1.47479 - \frac{670.77272}{(\text{DAY90})^2} - .00134 (\text{WINGS})^2$$

$$+ .15733 (\text{ACTOUR}) + \frac{304.94442}{(\text{ACHRS})^2}$$

$$+ .00001 (\text{ACHRS})^2$$

$$\text{MAR LANT} \quad R_{\text{adj}}^2 = .565 \quad (1 - \alpha) = .99$$

$$Y = - .17128 + .88657 \sqrt{\text{DNA}} + .52634 \sqrt{\text{NITE90}}$$

$$- .06351 (\text{NITE90}) - 57.37027 \left(\frac{\text{DNA}}{\text{TTIME}} \right)$$

$$- .00115 (\text{TTIME}) + .06901 (\text{CLNITE})^2$$

$$\text{MARTC} \quad R_{\text{adj}}^2 = .799 \quad (1 - \alpha) = .99$$

$$Y = - .36132 + 65.28325 \left(\frac{\text{NITE90}}{\text{DAY90}} \right) \\ + .00328 (\text{TTIME}) - .00008 \left(\frac{\text{TTIME}}{\text{DNA}} \right)^2 \\ - .41913 (\text{NITE90}) + .00385 (\text{WINGS})^2$$

$$\text{NAVAL RESERVES} \quad R_{\text{adj}}^2 = .813 \quad (1 - \alpha) = .999$$

$$Y = .83553 + .00084 (\text{NITE90})^2 - .56367 \left(\frac{\text{NITE90}}{\text{DAY90}} \right)^2 \\ - \frac{311.23233}{(\text{ACHRS})^2} - .22889 \sqrt{\text{CLDAY}} \\ + .00002 \left(\frac{\text{TTIME}}{\text{DNA}} \right)^2$$

$$\text{NASC} + \text{RDTE} \quad R_{\text{adj}}^2 = .808 \quad (1 - \alpha) = .95$$

$$Y = - 1.21331 + .71262 (\text{NITE90})^2 \\ + .23598 \sqrt{\text{TTIME}} + \frac{19.68521}{(\text{DNA})^2} \\ - .61421 \sqrt{\frac{\text{DNA}}{\text{TTIME}}} + \frac{182.91525}{(\text{TOT90})^2} \\ + .38527 \sqrt{\text{TOT90}}$$

5. Summary.

The foregoing represents purely mathematical results of an attempt to use regression analysis to help identify variables and/or combinations of functions of variables which help to explain the variability in monthly accident rates for major aircraft accidents.

Mathematical results were presented by aircraft type and also at a command level. Logical and meaningful explanations of why some variables may account for accident rate variability will be the subject of the next phase in the research, i.e. does it seem meaningful, besides being mathematically correct, that two variables may be combined in an equation and possibly make sense because they combine into what one might call pilot proficiency, or aircraft worthiness, etc. Logical analysis of the equations and possibly more advanced equations will be presented in the master's theses of Lt. Gary Johnson and Lt. Cdr. Lawrence Bucher in about October 1976.

REFERENCES

Maxwell, J. S. and Stucki, L. V., Analysis of the Variable Behavior Manifested in All Navy/Marine Major Aircraft Accident Rates. Masters thesis, Naval Postgraduate School, September 1975.

Poock, G. K., Trends in Major Aircraft Accident Rates. Technical Report NPS55Pk76061, Naval Postgraduate School, June 1976.

INITIAL DISTRIBUTION LIST

	Copies
Defense Documentation Center Cameron Station Alexandria, VA 22314	12
Dean of Research (Code 023) Naval Postgraduate School Monterey, CA 93940	1
Library (Code 0212) Naval Postgraduate School Monterey, CA 93940	2
Library (Code 55) Department of OR/AS Naval Postgraduate School Monterey, CA 93940	1
Dr. G. K. Poock (55Pk) Naval Postgraduate School Monterey, CA 93940	10
Director, Aviation Safety Programs Naval Postgraduate School Monterey, CA 93940	1
Naval Safety Center Naval Air Station Norfolk, VA 23511	1
LCDR R. W. Mister, Code 1155 Naval Safety Center Naval Air Station Norfolk, VA 23511	5

U174620

DUDLEY KNOX LIBRARY - RESEARCH REPORTS



5 6853 01058124 2

~~U17462~~

NPC